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Your Trusted
PARTNER



AUTHORISED PERSON Registration Form

A. P. Name

A. P. Back Office

A. P. Series

City

LIST OF DOCUMENTS REQUIRED FOR THE APPLICATION

AUTHORISED PERSON

NSE	BSE	MCX	NCDEX
PAN CARD	PAN CARD	PAN CARD	PAN CARD
ADDRESS PROOF	ADDRESS PROOF	ADDRESS PROOF	ADDRESS PROOF
EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)
Undertaking (on Letter Head)	Undertaking 2B(ii) Annexure 2(A) (on Letter Head)	AP-3 Certified by C.A. (on Letter Head)	ANNEXURE - 3
		AP-4 (on Letter Head)	ANNEXURE - 5

EXCHANGE	NSE	BSE	MCX	NCDEX
A.P. REGISTRATION CHARGES	(Rs. 5000 Per Segment + GST Applicable)	(Rs. 4000 + GST Applicable)	(Rs. 2000 + GST Applicable)	(Rs. 1000 + GST Applicable)
AMC CHARGES (Applicable as per exchange)	Rs. 5000 + GST	Rs. 4000 + GST	Rs. 1000 + GST	Rs. 1000 + GST

CHECKLIST

(TO BE FILLED BY HEAD OFFICE)

No.	Type	Head Office	
		YES	NO
1.	Proof of Identity <input type="checkbox"/> Voter ID <input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhar Card		
2.	How is the identity of the AP verified? <input type="checkbox"/> AP visited the office <input type="checkbox"/> Branch personal visited the AP		
3.	Address Proof (Residential) <input type="checkbox"/> Aadhar Card <input type="checkbox"/> Voter ID <input type="checkbox"/> Driving License <input type="checkbox"/> Passport <input type="checkbox"/> Telephone Bill <input type="checkbox"/> Electricity Bill <input type="checkbox"/> Bank Passbook <input type="checkbox"/> Latest Bank Statement		
4.	Address Proof (Office) <input type="checkbox"/> Telephone Bill <input type="checkbox"/> Rent Agreement <input type="checkbox"/> Registry Copy <input type="checkbox"/> Electricity Bill <input type="checkbox"/> Bank Passbook <input type="checkbox"/> Latest Bank Statement		
5.	Bank Proof <input type="checkbox"/> Copy of Bank Statement/Pass Book <input type="checkbox"/> A cancelled cheque leaf		
6.	Does address proof match with the residential address given by AP		
7.	Has the address proof of the AP verified		
8.	All the documents collected are verified with the original		
9.	Form should be complete in all respects		
10.	Processing fees received		
11.	Security Deposit received		

(AP refer to Authorise Person)

(AMC refer as annual maintenance charge)



Corporate Office :
Rudra House, 15/63, 2nd Floor, Civil Lines
Kanpur - 208 001 | Tel: 0512-6711000 - 002
Email: contactus@rudrashares.com | www.rudrashares.com

RUDRA SHARES AND STOCK BROKERS LTD
MEMBER : NSE | BSE | MCX | NCDEX | DP:CDSL

Photograph
Sign across
the Photograph



AUTHORISED PERSON REGISTRATION FORM

(A) DETAILS OF AUTHORISE PERSON																				
AP Name																				
AP Trade Name (If any)																				
EXCHANGE/SEGMENT	<input type="checkbox"/>	NSE CM				<input type="checkbox"/>	NSE FO				<input type="checkbox"/>	NSE CDS				<input type="checkbox"/>	NSE COM			
	<input type="checkbox"/>	BSE CM				<input type="checkbox"/>	BSE FO				<input type="checkbox"/>	BSE CDS				<input type="checkbox"/>	BSE COM			
	<input type="checkbox"/>	MCX				<input type="checkbox"/>	NCDEX				<input type="checkbox"/>					<input type="checkbox"/>				
Type of Registration Authorised Person:	<input type="checkbox"/>	NSE CM				<input type="checkbox"/>	NSE FO				<input type="checkbox"/>	NSE CDS				<input type="checkbox"/>	NSE COM			
	<input type="checkbox"/>	BSE CM				<input type="checkbox"/>	BSE FO				<input type="checkbox"/>	BSE CDS				<input type="checkbox"/>	BSE COM			
	<input type="checkbox"/>	MCX				<input type="checkbox"/>	NCDEX				<input type="checkbox"/>					<input type="checkbox"/>				
Type	<input type="checkbox"/>	Individual				<input type="checkbox"/>	Proprietorship				<input type="checkbox"/>	LLP				<input type="checkbox"/>	Partnership			
	<input type="checkbox"/>	Company				<input type="checkbox"/>	Others (Pl. Specify) _____													
Date of Birth/Date of Incorp.	D	D	M	M	Y	Y	Y	Y	PAN											
Father's/Spouse Name																				

Office Address (PL. ATTACH SELF CERTIFIED COPY)																			
Address																			
City																			
State																			
Office Ph. No.																			
E-mail																			
Alternate Mob. No.																			

Residential Address / Permanent Address PRESENT (PL. ATTACH SELF CERTIFIED COPY)																			
Address																			
City																			
State																			

Bank Detail (PL. ATTACH SELF CERTIFIED COPY ALONG WITH CANCEL CHEQUE)																				
A/c No.																				
A/c Type	<input type="checkbox"/> Current										<input type="checkbox"/> Savings									
Bank Name																				
Branch Address																				
IFSC Code																				

[illegible]

☐ Matriculation ☐ HSC ☐ Graduate ☐ Post Graduate

☐ Other (Please Specify) _____

Capital Market	M	M	Y	Y	Commodity Market :	M	M	Y	Y	
Currency Market	M	M	Y	Y						
Other (Pls Specify)										

Retail													HNI												
Corporate													Other Pls. Specify)												

[illegible]

Signature _____



SELF-DECLARATION BY A.P.

I/We, _____ PAN No. _____ hereby acknowledge and confirm that I/We am/are registered with Rudra Shares & Stock Brokers Limited (Trading Member) as Authorised Person (AP) and / or as client only and not registered as Authorised Person (AP) and / or as client with any other Trading Member except

Rudra Shares And Stock Brokers Limited. I/We shall inform the Trading Member of any proposed material change in this status.

The Trading Member shall be entitled to conduct periodic inspection of my/our office / branch and records of operations carried out by me/us as Authorised Person. On noticing irregularities, if any, in the declaration, the Trading Member shall be entitled to seek withdrawal of approval, withhold all moneys due to Authorised Person till resolution of investor problems.

I/We also confirm that all bank accounts & Demat a/c details as below mentioned and details providing for inspection :

BANK NAME	A/C NUMEBER	IFSC CODE	TYPE OF A/C	BRANCH
1				
2				
3				
4				

DP NAME	BENEFICIARY NAME	DP CLID

Date:_____/_____/_____

A.P. Signature:_____

A.P. Name:_____

Rudra Back Office : _____

(Note: Signature with Rubber Stamp is required in case of Non-individual)

© COMMERCIAL TERMS & CONDITIONS

Security Deposit (Interest Free)	₹1,00,000/-
Exposure Terms (Limit)	As per Exchange Policy
F&O Margin Cash & Non Cash %	As per Exchange Policy
Terminal ID Charges	Chargeble
Terminal ID (Application Based)	₹500/Month+GST
Telecom/ CRM Charge	₹1000/ Month + GST
Associate Sharing/Payout Equity	
	<input type="checkbox"/> Percentage OR <input type="checkbox"/> Fixed
	ASSOCIATE PERCENTAGE SHARING :
	Equity & Currency: * * (%wise) Intraday : <input type="text"/> <input type="text"/> <input type="text"/> % Delivery : <input type="text"/> <input type="text"/> <input type="text"/> % Futures : <input type="text"/> <input type="text"/> <input type="text"/> % Minimum Currency : <input type="text"/> <input type="text"/> <input type="text"/> % Per Share : <input type="text"/> <input type="text"/> <input type="text"/> Paise Commodity : <input type="text"/> <input type="text"/> <input type="text"/> % Per Share : <input type="text"/> <input type="text"/> <input type="text"/> Paise
Associate Sharing/Payout Commodity	MCX : <input type="text"/> <input type="text"/> <input type="text"/> % NCDEX : <input type="text"/> <input type="text"/> <input type="text"/> %

Associate Sharing/Payout Equity	FIXED :	
	Equity :	
	Intraday : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore	Delivery : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore
	Futures : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore	Options : <input type="text"/> <input type="text"/> <input type="text"/> Per Lot
	Currency : <input type="text"/> <input type="text"/> <input type="text"/> Per Lot	MPS : <input type="text"/> <input type="text"/> <input type="text"/> Paise
	Commodity : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore	MPS : <input type="text"/> <input type="text"/> <input type="text"/> Paise
Associate Sharing/Payout Commodity	MCX : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore	NCDEX : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore

(D) OTHER DETAILS
(B) OPERATIONAL DETAILS

Operation in Charge												Relation											
Name																		Age					
Edu. Qualification													NISM Certified :				<input type="checkbox"/> Yes <input type="checkbox"/> No						
Experience									Mobile No.														
Telephone No.													Fax										
Email ID																							
Number of Staff														Dealer					Others				
Office Space (Mandatory (Pls. attach proof, for all the location						<input type="checkbox"/> Rented <input type="checkbox"/> Owned						Area : _____ Sq.ft.											
Mode of Connectivity	<input type="checkbox"/> Internet																						

* Minimum Retention Rs 5000/-

* Minimum Per Share Brokerage

Signature _____



RUDRA
SHARES

रुद्रा है तो मुद्रा है

Fund Payout to Client	On Demand Only														
Communication (Contact Notes/Ledger/ Reporting/All others)	Settlement of Clients as per exchange applicable: Digital Only														

Date of Training (Trading/Back Off. Etc.)	DD	MM	YY	YY	YY	YY			Date of Commencement of Business	DD	MM	YY	YY	YY	YY			
Sign Board Size						Visiting Card Quantity												

BANK DETAILS FOR AP ONLY

A/c No.	01270340004133
Bank Name	HDFC BANK
Branch Address	KRISHNA TOWER, 15/63 CIVIL LINES , KANPUR-208001, UTTAR PRADESH
IFSC Code	HDFC000127

APPLICATION, DECLARATION & UNDERTAKING

I/We hereby declare that all the above information and particulars given by me/us in this application are true and fair to the best of my/our knowledge and belief.

I/We agree to immediately inform you if there is any change in the information given in this application. I/We also declare and agree that if any of the above statement is found to be incorrect or false or any information or particulars have been suppressed or omitted there from. I/We am/are liable to be debarred from doing business in the Cash/Derivative/Commodity Segments. I/We also agree to furnish such further information as you or the Exchange may require from me/ us from time to time and I/We agree that if I/We fail to give such information, you shall have the right to cancel my/our registration and you or Exchange shall have the right to debar, me/ us from doing business in the Cash/Derivative/Commodity Segments to the Exchanges. I/We have read and understood the above commercial terms and conditions of RUDRA SHARES And STOCK BROKERS LTD. and I/We agree to abide by the rules and regulations of RUDRA SHARES And STOCK BROKERS LTD. that may be in force from time to time.

Note: Compulsory quarterly / monthly settlement of Client as per SEBI norms

Compulsory amount subject to scheme Rs. 1000/- minimum required at the time of Account Opening

Introduced by: _____

[illegible]

Date **Name of Authorised Person** **Signature**  (2)

FOR OFFICE USE ONLY

	Name	Signature
Verified by		
Approved by		



RUDRA

SHARES

रुद्रा है तो मुद्रा है

RUDRA SHARES AND STOCK BROKERS LTD.

MEMBER : NSE | BSE | MCX | NCDEX | DP:CDSL

EAST INDIA

West Bengal

RUDRA SHARES & STOCK BROKERS LTD

46, B.B Ganguly Street
1st Floor, Room No. 8

Kolkata -700012 Mob: 8697721878

WEST INDIA

Maharashtra

RUDRA SHARES & STOCK BROKERS LTD

B/32 Patel Shopping Center Behind
Raj Mahal Hotel Chandavarkar Road

Borivali West **Mumbai** - 400092 Mob: 9820944966

Gujarat

404-407, Ashwamegh Aligance1,
Bhudarpura Road, Ambawadi
Ahmedabad- 380006

NORTH INDIA

Delhi

RUDRA SHARES & STOCK BROKERS LTD

6 floor, New Delhi House,
Office no, : 613, Barakhamba Rd,
Connaught Place, **Delhi** 110001
Mob: 06386661733

Uttarakhand

RUDRA SHARES & STOCK BROKERS LTD

4 Ground Floor, Shiva Palace
57/19 Rajpur Road

Dehradun - 248 001
Mob: .+91 7499185333

Rajasthan

RUDRA SHARES & STOCK BROKERS LTD

Crystall Mall, 203 Second Floor,
Jai Singh Highway, Bani Park

Jaipur - 302016 Mobile: 9664039772

NORTH INDIA

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD

Rudra House, PLOT No.15 16

New Berry Road, **Lucknow** - 226001
(UP) Tel: 0522-4313101 Mob: 7880390011

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD

Bansal GS, B 37/193 A,
Birdopur, **Varanasi**-221010

Mob:06386661709

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD

54 Civil Lines, 1st Floor
Ayub Khan Chauraha

Bareilly - 243001 Mob:06386661701

Corporate Office

Rudra House, 15/63, Civil Lines, Kanpur- 208 001

Ph: +91-512-6711000 (30 Lines)

web : www.rudrashares.com E-mail : info@rudrashares.com